



Pre-Application/Eligibility Determination Form

Applicant Name

Name : _____

Address : _____

Has the applicant officially or legally existed for at least one year?
(Check one.) Yes No (Briefly explain.)

Highest-Ranking Official

Name _____

Title _____

Address _____

City _____ State _____ Zip _____

Telephone No. (_____) _____ Fax No. (_____) _____

E-Mail Address _____

For-Profit Designation

Is the applicant a for-profit organization? (Check one.) Yes No

Size of Applicant

Total number of employees _____

(Give number salaried _____ hourly _____)

Sales preceding Fiscal Year \$ _____

Industrial Classification

List most descriptive two-digit SIC Code. _____

Award Category

_____ Manufacturing _____ Service _____ Small Business

_____ Health Care _____ Education _____ Nonprofit

_____ **Introductory Level – Pathway to Performance Excellence** – This level is for organizations that have just made the decision to begin their journey toward Performance Excellence using the Baldrige Framework for Performance Excellence. Application at this level requires completion and submittal of the five-page Organizational Profile. Also included should be an organizational chart and a glossary of terms, which are not a part of the page count.

_____ **Tier 1 – Commitment to Performance Excellence** – This level is for organizations that have made a serious commitment to use and implement performance excellence concepts and principles.

_____ **Tier 2 – Progress Toward Performance Excellence** – This level is for organizations that have demonstrated sustained commitment to and application of performance excellence principles and practices. They have made progress in building sound and notable systematic processes that have improved results in key portions of the organization

_____ **Tier 3 – Alabama Performance Excellence Award** – This highest level of recognition is presented to organizations that have demonstrated through their practices and achievements sustained performance excellence.

_____ **Award of Excellence for Continuous Productivity and Quality Improvement** – This award is for recipients of the top Tier 3 Alabama Performance Excellence Award and must wait three years before they can re-apply for the top level award.

Business Factors

Provide a brief description of the following key business factors:

- (1) Nature of the applicant's business (products, services, and technologies); who are your major competitors.

(2) Nature of major markets (local, regional, national, and international); list major customers.

Subunit Designation

Is applicant a subsidiary, business unit, or division of another Alabama organization?

_____ Yes _____ No If answer is yes, give the following information:

(a) Parent Organization

Name _____

Address _____

City _____ State _____ Zip _____

Highest Official _____ Title _____

Number of employees of the parent organization _____

(b) Does applicant comprise over 25% of the employees of the parent organization?
(Check one.) _____ Yes _____ No

(c) Does the applicant consist of more than 50% of the sales of the parent organization?
(Check one.) _____ Yes _____ No

(d) Has the subunit been in existence for at least three years?
(Check one.) _____ Yes _____ No

(e) Is the applicant's parent organization or another subunit of the parent organization intending to apply? (Check one.) _____ Yes _____ No _____ Don't Know

(f) Briefly describe the organizational structure and management links to the parent organization.

(g) Do other units within the parent organization provide similar products or services?
(Check one.) Yes (Briefly explain.) No

(h) Briefly describe the major business support functions provided to the applicant by the parent organization or by other units of the parent organization.

Primary Contact Person

Name _____ Title _____

Mailing Address _____

City _____ State _____ Zip _____

Overnight Mailing Address _____

City _____ State _____ Zip _____

Telephone Number (_____) _____ Fax Number (_____) _____

E-Mail Address _____

Authorizing Official

Signature _____ Date _____

Name _____ Title _____

Address _____

City _____ State _____ Zip _____

Telephone No. (_____) _____

The Pre-Application/Eligibility Determination Form along with a non-refundable \$150.00 processing fee must be submitted as early as possible, but not later than April 30, 2020 for all Applications including Pathway and Tiers One through Three to Linda Vincent, Alabama Performance Excellence Program, P.O. Box 1528, Bay Minette, AL 36507.

Amount Enclosed _____

Mode of Payment (Check one) _____ By Check _____ By Credit Card

(Credit Card) Charge to my

VISA MasterCard Discover American Express

Name on card _____

Account # _____ Security Code _____

Exp. Date (mm/yyyy) _____

Address of cardholder _____

Signature _____ Date _____

Do Not Write Below This Line

Note: This form will be returned to you with the eligibility determination indicated below. An approved 2020 Pre-Application Eligibility Determination Form must be submitted as part of the 2020 Alabama Performance Excellence Award Application.

_____ Manufacturing _____ Service _____ Small Business

_____ Health Care _____ Education _____ Nonprofit

_____ Pathway _____ Tier 1 _____ Tier 2

_____ Tier 3

_____ Award of Excellence for Continuous Productivity and Quality Improvement

Approval Date: _____

Signed By: _____